

# RHB Investment Bank Berhad 19663-P

For Office Use Only	
Client's CIF No.	
Spouse / Joint Applicant's CIF No.	
Submission by Dealer's Representative RHB Staff ID & Name : RHB Staff Department :	/Client/ Others (Please specify) :
Date Updated	

(A Participating Organisation of Bursa Mal (A Trading Participant of Bursa Malaysia Level 10, Tower One, RHB Centre, Jalan Tel: +603 9285 2233	Der Tun F	ivati	ives k, 50	Berl 040	nad) O Ku	ıala	Lum	npur	, M	alay	sia.				RH RH	IB S IB S	taff taff	ID 8	& Na partn	me	s Rep				Clier	it/ C	Other	s (Ple	ease	spec	cify)	:			
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FOR INDIVIDUAL ONLY (Please fill in where	e nece	ssary)	)																														
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*Please refer to Dealer's Representative for as	ssista	nce t	о со	mple	te the	ne	cessa	ary																									

FOR INDIVIDUAL ONLY (Please fill in where	necessary)					
Nature of Business (Malaysia Standard Industry Code - MSIC)	Construction Mining and Quarrying Transportation and St Arts, Entertainment ar Other Service Activitie Public Administration Compulsory Social Se Activities of Household Undifferentiated Good Producing Activities of Own Use Please specify detail MSIC	d Recreation s and Defense; curity ds as Employers; s and Services Households for	Accommodat Activities Electricity, Ga Conditioning Wholesale an	ctivities nd Communication ion and Food Service s, Steam and Air	Hum Fina Adm Prof Activ	culture, Forestry and Fishing nan Health and Social Work Activities ancial and Insurance / Takaful Activities ninistrative and Support Service Activities fessional, Scientific and Technical vities eer Supply; Sewerage, Waste Management Remediation Activities vities of Extraterritorial Organizations and lies
Monthly Income	RM2,000 and below RM6,001 - RM10,0		<del></del>	RM4,000 - RM25,000 D and above	_	M4,001 - RM6,000 M25,001 - RM50,000
Estimated Net Worth	RM50,000 and belo RM200,001 - RM50 RM3,000,000 and a	00,000	_	- RM100,000 1 - RM1,000,000	_	M100,001 - RM200,000 M1,000,001 -RM2,999,999
Source of Funds  *Please refer to Dealer's Representative for ass	☐ Employment ☐ Inheritance sistance to complete the nece	essary	☐ Business ☐ Others, plea	ase specify	☐ Inv	vestment Returns
FOR CORPORATE ONLY (Please fill in where	necessary)					
Date of Incorporation						
Country of Incorporation (please specify)						
Residency Status	Resident		☐ Non Reside		c	nyammant / Cayammant link Adanay /
Type of Organisation	Sole Proprietor		☐ Public Com	oany	Co	overnment / Government Link Agency / ompany
Nature of Ownership	Banks / Investment  Bumiputra Controlle  Government Control  Foreign Banking Ins	nstitution (Commercia Bank) d SME (Micro / Smalled	I / Medium)	☐ Domestic Non-I ☐ Non-Bumiputra ☐ Government (Fe	Bank Finar Controller ederal / St ompanies	ncial Institution  ad SME (Micro / Small / Medium) tate / Local / Statutory) s (Subsidiary / Associate / Other)
Employment Sector	Foreign Non-Bank E	ntities		Others, please	specify	
(Refer to Masco's Code, please specify)			_			
Authorised Share Capital (RM)				I Paid Up (RM)		
Total Net Assets (RM)			Total Borr	owings (RM)		
*Only for Derivatives						
DELIVERY OF CONTRACT NOTES / MOI	NTHLY STATEMENTS					
			Contract Note	es / Monthly Statements	(please tick one	e (1) only for each product)
Type of Products		Web*	*	Email		Physical / Hardcopy**
Equities Trading Account						
Margin Account						
Derivatives Trading Account		Not Applic	cable			
Note:- * The Contract Notes / Monthly Statements are available.	able from our online trading platf	orm (i.e. RHB Invest at <u>ht</u>	ttp://www.rhbinvest.	com or RHB TradeSmart at h	ttps://www	v.rhbtradesmart.com). You are able to download

the Contract Notes / Monthly Statements from our online trading platform.

\*\* Contract Notes / Monthly Statements will be sent to your correspondence address registered with us. The Bank may levy a fee if you request for hardcopies.

## CONSENT AND ACKNOWLEDGEMENT ON PERSONAL DATA

You understand that RHBIB may use, collect, record, store, share and/or process your personal information, including, without limitation, your contact details, background information, financial data, tax residency and other information relevant to your application for the product and / or services or which you have provided in this form for any and/or all of the following purposes ("Purpose"), if applicable:

- (i) providing this product and/or service and notifying you about important changes or developments to the features;
- (ii) updating and managing the accuracy of RHB Banking Group's records;
- (iii) prevention, detection or prosecution of crime, and complying with legal and regulatory obligations;
- (iv) assessment and analysis including credit / lending / insurance risks / behaviour scoring / market and product analysis and market research;
- (v) communications and ensuring customer satisfaction, which may include conducting surveys to improve the quality of our products and services, responding to inquiries and complaints and to generally resolve disputes;
- (vi) determining the amount of your indebtedness and recovering debt that you owe to RHBIB;
- (vii) maintaining your credit history for present and future reference;
- (viii) enabling an actual or proposed assignee of RHBIB, or participant or sub-participant of RHBIB to evaluate your transactions which are intended to be the subject of the assignment, participation or sub-participation:
- (ix) cross-selling, marketing and promotions of products and/or services of RHB Banking Group and its strategic alliances;
- (x) for RHBIB's corporate events (including networking events, launching of products, etc.)/contests, of which photographs/images of you may be captured and may be used for RHBIB's publications; or
- (xi) protecting RHB Banking Group's interests and other ancillary or related purposes.

You further consent to and acknowledge that RHBIB may disclose your personal information (or sensitive personal information, if applicable) to other companies within the RHB Banking Group, service providers, merchants and strategic partners, vendors including debt collection agencies, professional advisers, industry/financial related associations, credit bureaus or credit reporting agencies and fraud prevention agencies, governmental agencies, other financial institutions and any of their respective agents, servants and/or such persons, whether located within or outside Malaysia for the Purpose, if applicable, subject at all times to any laws (including regulations, standards, guidelines and/or obligations) applicable to RHB.

You may exercise your options in respect of receiving marketing materials including cross-selling, marketing and promotions as described above("Marketing Messages") at any time by calling our call centre @ 03 – 2113 8118 or by email to <a href="support@rhbgroup.com">support@rhbgroup.com</a>. Kindly note that if you do not exercise your right to opt out of receiving such Marketing Messages, you will be deemed to have consented to the receiving of such Marketing Messages by RHB Banking Group, service providers, merchants and strategic partners.

You acknowledge that the RHB Banking Group may modify or update its Privacy Notice from time to time, a copy of which is available at <a href="https://www.rhbgroup.com">www.rhbgroup.com</a> and that you may channel any complaints or inquiries you may have in the manner indicated above.

## **DECLARATION**

#### **Declaration for Updating of Client's Particulars**

I/We declare that all particulars and information given in this *Updating of Client's Particulars Form* are true and correct and that I/we have not withheld any material facts or information from RHBIB. RHBIB is entitled to fully rely on such information for all purposes, unless RHBIB receives notice in writing from me/us informing otherwise. I/We hereby undertake to furnish RHBIB with such additional particulars as RHBIB may require at any time and also undertake to inform RHBIB of any changes with regard to the particulars stated herein from time to time.

## **Declaration for Changes in Mode of Delivery**

In consideration of RHBIB agreeing to my/our request for the issuance and delivery of contract notes/statements by way of electronic or online devices, and any other notices issued by RHBIB from time to time, I/we hereby accept and assume the risks associated with the transfer of documents/information by way of electronic or online devices and/or delivery, including delays or failure in the transmission due to breakdown or failure of transmission or traffic congestion of communications or any other cause(s) beyond your control or anticipation and/or inherent risks in receiving electronic contract notes/statements. I/We understand the risks involved in communication over the internet and/or electronic communication channel. I/We shall not dispute or challenge the validity, enforceability or admissibility of any such record and the contents therein. In the event of systems failure, I/we consent to receive the contract notes/statements via post or such other means as RHBIB deems fit and appropriate.

I/We also agree that this instruction shall be effective until revoked by me/us by giving you a newly executed form superseding this form. I/We also understand that you may cancel this email delivery service without providing any reason and/or prior notice to me/us.

I/We acknowledge that any contract notes/statements sent to me/us, whether by e-mail or by post, if sent to my/our address as given to you herein shall be deemed to be duly served on me/us within the applicable period provided for in the agreement after it is posted and/or if sent by e-mail, on the day such communication was made.

# **Declaration for Account Consent Maintenance in CDS system**

I/We further consent to the disclosure by Bursa Malaysia Depository Sdn. Bhd. ("Bursa Depository") to RHBIB, and to such agents, service providers and sub-contractors of RHBIB as informed by RHBIB to Bursa Depository, of information or documents relating to my / our affairs and in particular, relating to my / our account(s) but not limited to balances, account particulars and / or transactions. This consent shall be valid until revoked by me / us and such revocation is accepted by RHBIB. I / We hereby release Bursa Depository from any loss or liability arising from or in connection with this authorization.

I/We shall assume all responsibility or liability whatsoever for any direct or consequential loss arising from or in connection with you acceding to my/our above request. I/We further agree to fully indemnify RHBIB and hold RHBIB harmless from and against all actions, proceedings, claims, demands, losses, damages, costs, penalties, fines, charges and expenses which RHBIB may sustain, incur and be liable to in consequence of or attributable to or arising from the above request.

Signature of Client (Individual) / Authorised Signatory (Corporate)		:		Signature of Joint Applicant: (If applicable)	:	
Name of Client / Authorised Signato	ory	:		Name of Joint Applicant	:	
NRIC / Passport No. of Authorised S (Corporate)	Signa	tory :		Date	:	
Designation of Authorised Signatory  Date	/ (Co	porate) :		Please affix corporation stamp (for Corporate Client)	:	
FOR OFFICE USE ONLY						
Reasons of update		☐ Change in informati ☐ Change in business	ion provided by customers operations	☐ Data input / classification / erro	or reporting	
Reasons of update					r reporting  RHBIB Staff  Department	I)ate
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